

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

ATTY. DOCKET NO.
APV 30270CIP

SERIAL NO.
09/698,479

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Vance BERGERON et al

FILING DATE

October 30, 2000

GROUP

1751

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

W	S. Creutz, P. Teyssie, R. Jerome, <u>Living Anionic Homopolymerization and Block Copolymerization of (Dimethylamino)ethyl Methacrylate</u> , Macromolecules, 30, pg. 6-9 (1997)
	P. Chaumont, D. Colombani, L. Boiteau, J.P. Lamps, M. Zink, C.R. Nat, and P. Charmot, <u>Free Radical Synthesis of Functional Polymers Involving Addition-Fragmentation Reactions</u> , American Chemical Society (Chapter 22, pg. 362-376) (1998)
	J. Chiefari, Y. K. Chong, F. Ercole, J. Krstina, J. Jeffrey, T. Le, R. Mayadunne, C. Meijs, C. Moad, G. Moad, E. Rizzardo, S. Thang, <u>Living Free-Radical Polymerization by Reversible Addition-Fragmentation Chain Transfer: The RAFT Process</u> , Macromolecules, 31, pg. 5551-5562 (1998)
	A. Sebenik, <u>Living Free-Radical Block Copolymerization Using Thio-Iniferters</u> , Prog. Polym. Sci. Vol. 23, pg 875-917 (1998)
	J. Krstina, C. Moad, G. Moad, E. Rizzardo, <u>A New Form of Controlled Growth Free Radical Polymerization</u> Macromol. Symp. 111, pg. 13-23 (1996)
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	V. Butun, N. Billingham, S. Armes, <u>Synthesis and Aqueous Solution Properties of Novel Hydrophilic-Hydrophilic Block Copolymers Based on Tertiary Amine Methacrylates</u> , Chem. Commun. 671-672 (1997)
	S. Creutz, J. van Stam, F. De Schryver, R. Jerome, <u>Dynamics of Poly((dimethylamino)alkyl methacrylate-block-sodium Methacrylate) Micelles. Influence of hydrophobicity and Molecular Architecture on the Exchange Rate of Copolymer Molecules</u> , Macromolecules, 31, 681-689 (1998)
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V	X. Zhang, K. Matyjaszewski, <u>Synthesis of Well Defined Amphiphilic Block Copolymers with 2 Dimethylaminoethyl Methacrylate by Controlled Radical Polymerization</u> , Macromolecules 32, 1763-1766 (1999)
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EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>W</i>	5	8	5	3	7	1	0		12-98	Dehan et al			
<i>W</i>	5	8	5	8	3	4	3		01-99	Szymczak			
<i>W</i>	5	8	8	2	5	4	1		03-99	Achtmann			
<i>W</i>	5	9	0	2	5	7	4		05-99	Stoner et al			
<i>W</i>	5	9	0	2	7	7	8		05-99	Hartmann et al			
<i>W</i>	5	7	1	4	0	0	1		02-98	Savoly et al			
<i>W</i>	5	2	7	7	8	9	9		01/94	McCall			

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<i>W</i>	9	8	3	9	4	0	1		09-98	WO			X	

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WJ	5	8	2	1	2	0	3	10-98	Williamson				

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		J. Chiefari, Y. K. Chong, F. Ercole, J. Krstina, J. Jeffrey, T. Le, R. Mayadunne, G. Meigs, C. Moad, G. Moak, E. Rizzardo, S. Thang <u>Living Free-Radical Polymerization by Reversible Addition-Fragmentation Chain Transfer: The RAFT Process</u> , <i>Macromolecules</i> , 31, pg. 5559-5562 (1998)
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		Abstract of PCT Publication No. WO 98/01478, published January 15, 1998
		J. Krstina, C. Moad, E. Rizzardo, <u>A New Form of Controlled Growth Free Radical Polymerization</u> <i>Macromol. Symp.</i> 111, pg. 13-23 (1996)
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		V. Butun, N. Billingham, S. Armes, <u>Synthesis and Aqueous Solution Properties of Novel Hydrophilic-Hydrophilic Block Copolymers Based on Tertiary Amine Methacrylates</u> , <i>Chem. Commun.</i> 671-672 (1997)
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		A. Lowe, N. Billingham, S. Armes, <u>Synthesis and Characterization of Zwitterionic Block Polymers</u> , <i>Macromolecules</i> , 31, 5991-5998 (1998)
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		X. Zhang, K. Matyjaszewski, <u>Synthesis of Well Defined Amphiphilic Block Copolymers with 2 Dimethylaminoethyl Methacrylate by Controlled Radical Polymerization</u> , <i>Macromolecules</i> 32, 1763-1766 (1999)
WA		N. Hoogveen, M. Sohen Stuart, G. Fleer, <u>Novel Water-Soluble Block CoPolymers of Dimethylaminoethyl Methacrylate and Dihydroxypropyl Methacrylate</u> , <i>Macromolecules Chem. Phys.</i> , 197, pg. 2553-2554 (1996)

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WJ	5 8 1 1 3 8 6	09-98	Mueller et al	/	/	/

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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WJ	0 0 1 3 5 8 5	07-80	EP	/	/	X	/
	0 2 3 2 0 9 2	08-87	EP	/	/	X	/
	0 4 9 4 5 5 4	07-92	EP	/	/	/	X
	0 4 1 0 5 6 7	01-91	EP	/	/	X	/
	0 5 6 0 5 1 9	09-93	EP	/	/	X	/
	0 5 2 1 4 3 9 2	03-82	JP	/	/	Abstract Only	/
	5 7 0 4 4 7 0 0	08-93	JP	/	/	Abstract Only	/
	9 5 0 0 6 1 1	01-95	WO	/	/	X	/
	9 5 1 9 9 5 1	07-95	WO	/	/	X	/
	9 6 0 2 6 2 2	02-96	WO	/	/	X	/
	9 6 3 7 5 9 7	11-96	WO	/	/	X	/
	9 8 2 8 3 9 3	07-98	WO	/	/	X	/
	9 9 2 7 0 5 3	06-99	WO	/	/	X	/
	9 9 2 7 0 5 4	06-99	WO	/	/	X	/
	9 9 2 7 0 5 7	06-99	WO	/	/	X	/
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WLC	3 0 9 9 6 3 6	7-63	SKILES			
	4 3 0 4 7 0 3	12-81	DAS			
	4 4 8 6 4 8 9	12-84	GEORGE			
	3 2 4 9 4 5 5	05-66	WILLIAMS			
WLC	3 6 7 1 5 0 2	06-72	SAMOUR et al			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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WLC	5 3 1 1 1 9 4	05-93	JP			
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WLC	9 1 1 5 5 2 4	10/91	WO			

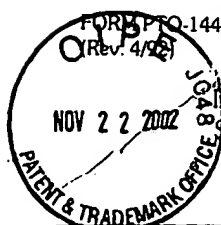
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APPLICANT
YEUNG et al.

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Wk	4 4 5 4 0 6 0	06/84	Lai et al.			
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Wk	5 7 8 3 5 3 3	07/98	Kensicher et al.			

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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Wk	9 4 1 6 6 7 9	08/94	WO			Abstract Only	
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	9 9 1 5 3 2 2	06/99	AU				
	1 5 8 4 1 2 7	02/81	GB				
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	0 3 0 8 1 9 0	03/89	EP				
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	0 0 0 6 1 0 2	02/00	WO				

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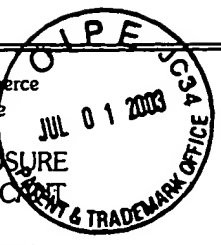
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Wk	5 5 8 0 8 1 9	12/96	Li et al.			
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	6 5 2 8 4 7 6	03/03	Bodet et al.			
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Wk	7 7 5 8 8	04/83	✓ EP				
	1 8 8 3 5 0	10/90	✓ EP				
	5 6 0 5 6 7	09/93	✓ EP				
	9 6 1 7 9 1 6	06/96	✓ WO				
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	1 9 5 4 5 6 3 0	06/97	✓ DE			Abstract Only	
	1 7 3 2 5 9	03/86	✓ EP				
	3 5 7 9 7 6	03/90	✓ EP				
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Wg	1	US Patent Application No. 09/702,083 filed October 30, 2000 in the name of Sivik et al.
		US Patent Application No. 09/702,084 filed October 30, 2000 in the name of Sivik et al.
		US Patent Application No. 09/699,522 filed October 30, 2000 in the name of Sivik et al.
		US Patent Application No. 09/698,149 filed October 30, 2000 in the name of Yeung et al.
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Wg		US Patent Application No. 09/979,562 filed November 14, 2001 in the name of Kasturi et al.
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Wk	US Patent Application Publication No. US 2003/0144167 A1, filed Jan. 4, 2002, published July 31, 2003, SIVIK et al.
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